

# Study on comprehensive evaluation of construction safety of urban mass transit railway tunnel based on ANP – fuzzy

XIAOBO XIONG<sup>2,3</sup>, LULU YANG<sup>2</sup>

**Abstract.** In this paper, according to the characteristics of shield tunnel construction, the main factors that lead to the occurrence of risk during tunnel construction are analyzed. In order to solve this problem, this paper proposes ANP-Fuzzy(ANP, analysis network process) model, which takes into account the factors and the mutual feedback and influence of each level, because of the interdependence and feedback ambiguity between the risk factors. This method uses the ANP to obtain the weight of each influencing factor, and then use the fuzzy comprehensive evaluation method to obtain the final risk assessment. Then takes the project of Beijing Metro Line 6 as the research object, and elaborates and calculates the specific implementation process of the risk assessment method. The comprehensive evaluation analysis and calculation results show that the ANP-Fuzzy model can effectively evaluate, control and manage the risk of subway tunnel construction, so as to improve the scientific nature of the risk assessment process.

**Key words.** tunnel construction; risk factors; index system; ANP-Fuzzy; risk assessment.

## 1. Introduction

In recent years, railways, light rail, maglev railway, urban high-grade highway construction has developed rapidly<sup>[1]</sup>. However, the investment in subway project is huge, the life cycle is long, the construction and operation system is complex, the project quality is high, the long term benefit is good, and the influence is huge,

---

<sup>1</sup>Acknowledgement - "Project 40962005 supported by National Natural Science Foundation of China." This work was supported by Opening Fund of Ministry of Education Key Laboratory of Geotechnical and Underground Engineering (Grant No. KLE-TJGE-0802). And this work was also supported by Opening Fund of State Key Laboratory of Geohazard Prevention and Geoenvironment Protection (Chengdu University of Technology). \*XIAOBO XIONG, corresponding author, Email: thongtao2006@163.com.

<sup>2</sup>Workshop 1 - School of architecture & civil engineering, Nantong University, Nantong, 226019, China

<sup>3</sup>Workshop 2 - School of civil engineering, Tongji University, Shanghai, 200092, China

which determines the construction management of the subway is a very complicated system engineering.

Establishing effective tunnel safety risk assessment method is the key to ensure the safety of tunnel construction. According to the characteristics of complex construction conditions, poor construction environment and uncertain factors in tunnel construction process [2]. Based on ANP and fuzzy comprehensive evaluation, this paper puts forward a risk assessment model based on ANP fuzzy method for urban subway tunnel construction.

## 2. Establishment of evaluation index system

The establishment of the evaluation index system is an important link in the risk assessment of subway engineering. Evaluation system can better show the safety status of the project and the risk of influencing factors. According to the analysis of the "people, machine, material, method and environment" of the subway construction risk factors [3],[4]. By the five types of risk factors according to the inclusion of the relationship down the stratification, combined with other information, the final risk indicators, as shown in Table 1.

## 3. Introduction to the ANP-Fuzzy method

### 3.1. ANP model

Network analysis process is a type of generalization of analytic hierarchy process. The second layer for the network layer, including interdependence, mutual domination of the elements. This model consisting of a control layer and a network layer[5],[6].

Table 1 subway shield construction risk assessment index system

Target layer	level one	Second level
Subway Shield Construction Risk C	Shield machine and its equipment risk C <sub>1</sub>	Shield model is not appropriate C <sub>11</sub>
		Soil and grouting and other auxiliary equip- ment failure C <sub>12</sub>
		Excavation, propulsion equipment failure or improper maintenance C <sub>13</sub>
	Construction risk C <sub>2</sub>	Shield construction parameters improper C <sub>21</sub>
		Soil reinforcement is not in place C <sub>22</sub>
		Sealed leak-proof effect is poor C <sub>23</sub>
		Poor auxiliary process C <sub>24</sub>
	Material risk C <sub>3</sub>	Poor slurry performance C <sub>31</sub>
		The material at the assembling connection is unqualified C <sub>32</sub>
	Manage risk C <sub>4</sub>	Construction enterprises have low core com- petence C <sub>41</sub>
		Construction organization chaos C <sub>42</sub>
	External environmental risk C <sub>5</sub>	The stratigraphic soil is weak C <sub>51</sub>
		The adverse effects of groundwater on con- struction C <sub>52</sub>
		Adverse effects caused by voids in soil forma- tions C <sub>53</sub>
		Unexplained obstacle exists in the ground C <sub>54</sub>
The depth of the shield is too shallow during excavation C <sub>55</sub>		

**3.2. ANP calculation principle**

**3.2.1 Constructs a weightless super matrix**

In the control layer a criterion:  $P_s (s = 1, 2, \dots, m)$ , an element  $C_{jl} (l = 1, 2, \dots, n_j)$  in the network layer element group  $C_j$  is the sub-criterion, according to the influence of each element on the  $C_{jl}$  in the other element group  $C_i$ , then use the eigenvalue method to get the ordering vector  $(W_{i1}^{jl}, W_{i2}^{jl}, \dots, W_{in}^{jl})^T$ . [7],[8],[9]

**3.2.2 Constructing Weighted Super Matrices and Find the limit super matrix**

$P_s$  as the main criterion, can be normalized eigenvector  $(a_{1j}, a_{2j}, a_{3j}, \dots, a_{Nj})^T$ . The weight matrix of  $A_s$  can be obtained under  $P_s$ .  $A_s = [a_{11} \ a_{12} \ \dots \ a_{1N}; a_{21} \ a_{22} \ \dots \ a_{2N}; \dots; a_{N1} \ a_{N2} \ \dots \ a_{NN}]$ . The weight matrix  $A_s$  is multiplied by the weightless super-matrix  $W_s$ , that is, the weight super-matrix,  $W^w_s = A_s W_s$ . In the ANP, to determine the stability of the element priority by finding the limit super-matrix method, we must calculate the limit super-matrix:  $\lim_{k \rightarrow \infty} W^k = W_s^l$ .

### 4. Project Overview of Research Examples

Beijing Metro Line 6, a project 01 tenders of the Youth road station ~ Dalian slope station section, west from the youth road, along the Chaoyang North Road laying to the Dalian slope station so far, the whole length of about 3.4km, from east to west , The use of shield within the interval construction. The buried depth of the tunnel is 14.6 ~ 16.9m<sup>[10]</sup>.

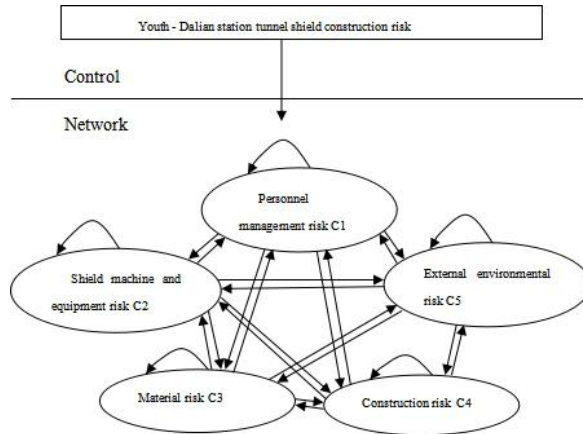


Fig. 1. Youth road station ~ Dalian slope station tunnel shield construction risk ANP map

#### 4.1. Determination of index weight based on ANP method

(1) to determine the weight

In this risk system, there are complex interrelationships between the elements and other elements, and the risk model established in Table 1 and Figure 1 establish the relationship between the elements. Using ANP method to determine the weight of shield interval risk assessment index system of Youth road station Dalian slope station.

(2) the index calculation

The whole calculation of this example involves 25 judgment matrices. Because of the limited length of this paper, we choose W52 as an example to illustrate the construction of fuzzy judgment matrix. the secondary indicators, that is, the adverse effects of groundwater on the construction of C21 as a sub-criteria, and the first-level indicators, that is, the external environment risk C5 under the secondary indicators C51, C53, C54, C55 and so on, to compare, build the judgment matrix. the weight vector is obtained:  $[w_{51}^{(21)} w_{52}^{(21)} w_{53}^{(21)} w_{54}^{(21)} w_{55}^{(21)}] = [0.2245 \ 0.4047 \ 0.2028 \ 0.1135 \ 0.0545]^T$ .

This is the sorting vector of the degree of influence of the elements in element C5 which affected on element C21. Similarly, the element set C5, respectively, affect on the elements C22, C23, C24 impact degree of the sorting vector is as follows. From

the above four weight vectors, the judgment matrix is obtained.  $W_{52}=[0.224 \ 0.224 \ 0.249 \ 0.249; 0.405 \ 0.405 \ 0.488 \ 0.488; 0.203 \ 0.203 \ 0.112 \ 0.112; 0.114 \ 0.114 \ 0.112 \ 0.112; 0.054 \ 0.054 \ 0.039 \ 0.039]$ . According to the same method, the judgment matrix  $W_{11}, W_{12}, W_{13}, W_{14}, W_{15}, W_{21}, W_{22}, \dots, W_{54}, W_{55}$  can be obtained.

(3) Combine the above fuzzy matrices into unweighted fuzzy super-matrices  $W=[W_{11} \ W_{12} \ W_{13} \ W_{14} \ W_{15}; W_{21} \ W_{22} \ W_{23} \ W_{24} \ W_{25}; W_{31} \ W_{32} \ W_{33} \ W_{34} \ W_{35}; W_{41} \ W_{42} \ W_{43} \ W_{44} \ W_{45}; W_{51} \ W_{52} \ W_{53} \ W_{54} \ W_{55}] = [0.077 \ 0.263 \ 0.292 \ 0.129 \ 0.132 \ 0.082 \ 0.082 \ 0.089 \ 0.083 \ 0.112 \ 0.076 \ 0.101 \ 0.077 \ 1.104 \ 0.077 \ 0.069; 0.211 \ 0.145 \ 0.583 \ 0.242 \ 0.234 \ 0.213 \ 0.213 \ 0.219 \ 0.312 \ 0.204 \ 0.205 \ 0.209 \ 0.206 \ 0.212 \ 0.206 \ 0.226; \dots; 0.031 \ 0.037 \ 0.037 \ 0.054 \ 0.054 \ 0.039 \ 0.039 \ 0.040 \ 0.032 \ 0.032 \ 0.037 \ 0.021 \ 0.036 \ 0.027 \ 0.033 \ 0.029]$

(4) Calculate the first level fuzzy weight  $A$ . From the obtained first-order index weight  $A$  and unweighted fuzzy hyper-matrix  $W$ , we obtained the fuzzy weighted super-matrix as :

(5) The normalized limit ordering vector.  $G=(g_1, g_2, g_3, g_4, g_5)=(g_{11}, g_{12}, g_{13}, g_{21}, g_{22}, g_{23}, g_{24}, g_{31}, g_{32}, g_{41}, g_{42}, g_{51}, g_{52}, g_{53}, g_{54}, g_{55}) = (0.0102, 0.0221, 0.0578, 0.1897, 0.0708, 0.0817, 0.0634, 0.1066, 0.0569, 0.0271, 0.0113, 0.0692, 0.1272, 0.0550, 0.0390, 0.0119)$

(6) To solve the impact of weight order of the risk factors group.  $g_1=g_{11} + g_{12} + g_{13} = 0.0902, g_2=g_{21} + g_{22} + g_{23} + g_{24} = 0.4057, g_3=g_{31} + g_{32} = 0.1635, g_4=g_{41} + g_{42} = 0.0384, g_5=g_{51} + g_{52} + g_{53} + g_{54} + g_{55} = 0.3022$ . According to the value of  $g_1, g_2, g_3, g_4, g_5$ , it can be seen that the influence weights of the factors influencing the shield construction risk of the Youth road station Dalian slope station are: external environmental risk, construction risk, shield machine and equipment risk, material risk and management risk.

#### 4.2. Risk Assessment of Tunnel Construction Based on Fuzzy Method of Engineering Example

(1) establish a set of risk factors for the project

Based on the risk assessment index system in Table 1, the set of factors established:  $R_1=[0 \ 0.6 \ 0.3 \ 0.05 \ 0.05; 0.6 \ 0.2 \ 0.2 \ 0 \ 0; 0.7 \ 0.3 \ 0 \ 0 \ 0]; R_2=[1 \ 0 \ 0 \ 0 \ 0; 0.65 \ 0.35 \ 0 \ 0 \ 0; 0.55 \ 0.4 \ 0.05 \ 0 \ 0; 0.55 \ 0.4 \ 0.05 \ 0 \ 0; 0.3 \ 0.25 \ 0.3 \ 0.15 \ 0]; R_3=[0.9 \ 0.1 \ 0 \ 0 \ 0; 0.3 \ 0.2 \ 0.2 \ 0.2 \ 0.1]; R_4=[0 \ 0 \ 0.7 \ 0.2 \ 0.1; 0 \ 0.1 \ 0.5 \ 0.3 \ 0.1]; R_5=[0.8 \ 0.1 \ 0.05 \ 0.05 \ 0; 0.9 \ 0 \ 0.1 \ 0 \ 0; 0.7 \ 0.15 \ 0.15 \ 0 \ 0; 0.6 \ 0.15 \ 0.1 \ 0.15 \ 0; 0.3 \ 0.1 \ 0.25 \ 0.25 \ 0.1]$ .

(2) fuzzy comprehensive evaluation

The fuzzy comprehensive evaluation based on ANP is established.

$$B = A \circ R = \begin{bmatrix} 0.0902 \\ 0.4057 \\ 0.1635 \\ 0.0384 \\ 0.3022 \end{bmatrix}^T \circ \begin{bmatrix} 0.62663 & 0.30913 & 0.05599 & 0.004125 & 0.004125 \\ 0.76724 & 0.156145 & 0.054205 & 0.02241 & 0 \\ 0.8193 & 0.11345 & 0.0269 & 0.0269 & 0.01345 \\ 0 & 0.03366 & 0.63268 & 0.23366 & 0.1 \\ 0.80476 & 0.06814 & 0.09123 & 0.03371 & 0.00216 \end{bmatrix} = [0.7449 \ 0.1317 \ 0.0833 \ 0.033 \ 0.0071]$$

The corresponding comment set is:  $V = \{v_1, v_2, v_3, v_4, v_5\} = \{\text{The risk is great, the risk is big, the risk is general, the risk is small, the risk is very small}\}$ .

According to the principle of maximum membership, the highest value of the evaluation vector is 0.6739, which is corresponding to the risk of the concentration, and the project is more than 50% of the total, We can think that Youth road station ~ dalian slope station subway shield construction range of great risk.

## 5. Conclusion

(1) Shield tunnel construction safety evaluation index system can better reflect the tunnel construction safety of the various factors required. The weight of each risk index of the tunnel construction system determined by ANP method can reflect the actual situation of the project correctly and provide a reliable basis for the safety evaluation of shield tunnel construction.

(2) According to the actual situation of the tunnel project of the Youth Road Station and the Dalian slope station, the comprehensive evaluation value is 0.6739, which indicates that the project is very risky. Therefore, it is advisable to consider more influencing factors when using ANP-Fuzzy method to evaluate the risk of tunnel construction in order to obtain more accurate results.

## References

- [1] P. C. LI: *China's subway development status and future prospects*. Science and Technology Guide - electronic version(early) (2014), No. 3, 121.
- [2] Y. J. WANG, Y. P. ZENG: *Urban rail transit project shield construction and management*. JBeijing: Chemical Industry Press,China(2013).
- [3] L. M. PENG, Y. L. AN, C. H. SHI: *Theory and practice of tunnel construction safety and risk management under the condition of building*. Beijing: Science Press, China(2010).
- [4] Y. GU: *Study on Subway Construction Risk Assessment Based on Fuzzy Comprehensive Evaluation Method*. Beijing, China Railway Research Institute, China 6 (2013).
- [5] W. T. CHEN, R. P. TONG, Y. L. SUN: *Based on ANP method to determine the weight of community accident emergency response indicators*. Chinese Journal of Safety Science 20 (2010), No. 6, 166-171.
- [6] X. ZHOU: *Application of fuzzy network analysis method in subway construction risk*. Dalian: Dalian University of Technology, China 5 (2014).
- [7] T. WANG, Y. P. WANG: *Fuzzy mathematics and its application*. Shenyang: Northeastern University Press, China (2009).
- [8] TIAN. J, WEI. Y, ZHAO. X: *Experimental Study on the Effect of Moisture Content and the Freeze-Thaw Cycle on Unsaturated Loess Strength Damage..* International Conference on Smart City and Systems Engineering.IEEE Computer Society (2016), 118-121.
- [9] SAATY. T. L: *Decision making with the analytic hierarchy process.s*.International Journal of Services Science 1 (2008), No. 1,83 -98.
- [10] L. HUANG: *Beijing subway shield construction settlement risk mechanism research and evaluation model construct*. Mechanics of Advanced Materials and Structure 6, (2012).

Received November 16, 2017